# This Page Is Inserted by IFW Operations and is not a part of the Official Record

### **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
  - GRAY SCALE DOCUMENTS

### IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

#### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

WO 99/49506 (51) International Patent Classification 6: (11) International Publication Number: A1 H01L 21/3065 (43) International Publication Date: 30 September 1999 (30.09.99)

(21) International Application Number: PCT/GB99/00774

(22) International Filing Date: 16 March 1999 (16.03.99)

(30) Priority Data: GB 9805927.2 20 March 1998 (20.03.98)

9904925.6 4 March 1999 (04.03.99) GB

(71) Applicant (for all designated States except US): SURFACE TECHNOLOGY SYSTEMS LIMITED [GB/GB]; Imperial Park, Newport, Gwent NP1 9UJ (GB).

(75) Inventor/Applicant (for US only): MCQUARRIE, Andrew, Duncan [GB/US]; 16066 Highland Drive, San Jose, CA 95127 (US).

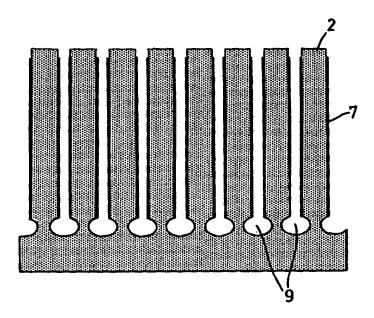
ents: EASTWOOD, Simon, Christopher et al.; Wynne-Jones, Laine & James, 22 Rodney Road, (74) Agents: Cheltenham, Gloucestershire GL50 1JJ (GB).

(81) Designated States: JP, KR, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

Published

With international search report.

(54) Title: METHOD AND APPARATUS FOR MANUFACTURING A MICROMECHANICAL DEVICE



#### (57) Abstract

There is disclosed a method of manufacturing a micromechanical device. The method comprises the steps of: (a) etching a substrate (1), having a mask (2) thereon, through an opening in the mask to a desired depth to form a trench (6) having a side wall (4) and a base (5) in the substrate (1); (b) depositing a layer of a protecting substance (7) on the exposed surfaces of the substrate and mask; (c) selectively removing the protecting substance (7) from the base (5); and (d) etching the base (5) using a fluorine-containing etchant. Also disclosed is a micromechanical device formed by the method and an apparatus for manufacturing the micromechanical device.

#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	Prance	LU	Luxembourg	SN	Senegal
ΑÜ	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
ВВ	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
cz	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		•
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

1

## Method and Apparatus for Manufacturing a Micromechanical Device

The present invention relates to a method and apparatus for manufacturing a micromechanical device using a fluorine etchant source, for example xenon difluoride ( $XeF_2$ ). Xenon difluoride is a dry isotropic gas phase etchant, which provides a gentle etch for silicon at low temperature. Xenon difluoride is usually supplied in the form of colourless crystals which sublime without decomposition. The sublimation pressure for  $XeF_2$  is approximately 4 Torr.

5

10

15

20

25

A current method of manufacturing a micromechanical device uses the steps of anisotropic etching, mask removal, silicon oxide deposition, selective oxide etching, and isotropic etching of silicon with a SF<sub>6</sub> plasma and oxide strip. However, this method requires many steps which results in long cycle times and a high cost. Other methods etch down to a buried oxide layer of silicon dioxide and selectively stop the anisotropic silicon etch at that interface. The silicon oxide is then selectively removed by HF to release the silicon structures. However, this method requires the use of wafers having a buried oxide layer which are very costly as well as additional equipment for HF based etching. Furthermore, liquid HF etching itself has considerable potential for stiction issues.

According to a first aspect of the present invention, there is provided a method of manufacturing a micromechanical device comprising the steps of:

- (a) etching a substrate, having a mask thereon, through an opening in the mask to a desired depth to form a trench having a side wall and a base in the substrate;
- (b) depositing a layer of a protecting substance on the exposed surfaces of the substrate and mask;

5

15

- (c) selectively removing the protecting substance from the base; and
- (d) etching the base using a fluorine-containing etchant.

The fluorine-containing etchant may be a gas or vapour used to etch the substrate in the absence of a plasma.

Preferably, the fluorine-containing etchant is  $XeF_2$ . However, other vapours such as  $F_2$  or  $ClF_3$  (which will either etch or enhance the etch rate of silicon in the gas phase) as discussed in our co-pending British Patent Application No. 9904925.6 may be equally applied either as a replacement to  $XeF_2$ , or in the form of a mixture, for example with  $XeF_2$ . Thus, whilst the use of  $XeF_2$  is discussed below in detail, other gases could be used.

Conveniently, the mask may be provided with a plurality of openings therein.

The method may further comprise the step of removing the mask and remaining protecting substance. This removal may be by means of a plasma. The substrate is preferably formed of silicon.

In a preferred embodiment, the mask is deposited by a photolithographic process.

The substrate is preferably etched by substantially anisotropic etching. The anisotropic etching may conveni-

3

ently be performed using the methods described in EP-A-0822582 and EP-A-0822584, the contents of which are incorporated herein by reference.

The use of XeF<sub>2</sub> in a method and apparatus for etching a workpiece are disclosed in British Patent Application No. 9709659.8, the contents of which are incorporated herein by reference.

5

10

15

20

25

The protecting substance may be of the form  $C_{\gamma}F_{\chi}$  (where X and Y may be any suitable value) such as CF<sub>x</sub> polymeric chains, where x may be 2. Thus, the protecting substance may be of the general formula  $n(CF_2)$ . One example may be The deposition may be performed by cross-linked PTFE. generating a plasma, for example an RF plasma, with an appropriate source gas, for example C<sub>4</sub>F<sub>8</sub>. In a preferred embodiment, a typical deposition thickness of the protecting substance is in the range of about 10 to 100 nm. Alternatively, the protecting substance may be of the form  $C_rH_x$  such as CH, polymeric chains, where x may be 2. Thus, it may be of the general formula n(CH2). In this case the source gas may be CH, for example. Again X and Y may be any suitable value.

The protecting substance may be selectively removed from any or all of the surfaces other than the side wall and etching with the fluorine-containing etchant may take place on all resulting unprotected areas.

The protecting substance may selectively be removed by a plasma such as oxygen or (well known) mixtures with argon, helium, or nitrogen for example. The requirement for selec-

4

tive removal may be achieved by a suitable plasma process as known in the art.

The etching with the fluorine-containing etchant may be isotropic etching of the unprotected areas of the substrate.

According to a second aspect of the present invention, there is provided a device formed by the above method.

5

10

15

25

The device may be formed as a series of adjacent fingers separated by trenches. A fluorine-containing etchant, for example XeF<sub>2</sub>, may be used to undercut such that the trenches are in communication.

According to a third aspect of the present invention, there is provided an apparatus for manufacturing a micromechanical device comprising:

- (a) means for etching a substrate, having a mask thereon, to a desired depth;
- (b) means for depositing a layer of a protecting substance on the exposed surfaces of the substrate and mask;
- (c) means for selectively removing the protecting substance; and
- 20 (d) means for providing a fluorine-containing etchant for etching the unprotected areas.

The means for depositing the protecting substance may be means for generating a plasma. The means for selectively removing the protecting substance preferably includes means for providing a suitable plasma.

In a preferred embodiment, the apparatus may further comprise means for removing the mask and the remaining protecting substance and this means may be a plasma source,

preferably an oxygen plasma source.

WO 99/49506

5

25

Although the invention has been described above, it is to be understood that it includes any inventive combination of the features set out above or in the following description.

The invention may be performed in various ways and a specific embodiment will now be described, by way of example, with reference to the accompanying drawings in which:

10 Figure 1 is a cross section of a silicon substrate having a pattern photoresist;

Figure 2 is a cross section view corresponding to that in Figure 1 in which the silicon has been etched;

Figure 3 shows a cross sectional view corresponding to 15 Figures 1 and 2 in which a protecting layer has been deposited;

Figure 4 shows a corresponding cross sectional view in which the protecting layer has been selectively removed;

Figure 5 shows a corresponding cross section view 20 showing a partial etch of the substrate;

Figure 6 shows a corresponding cross section view in which etching has been completed;

Figure 7 is a top view of inter-digitated silicon fingers formed by the method of the present invention;

Figure 8 is a cross sectional view of Figure 7 along the line A-A; and

Figure 9 shows a schematic view of an apparatus of the present invention.

6

Figure 1 shows a silicon substrate 1 on which is a patterned photoresist (mask) 2. The silicon substrate 1 is patterned by the use of photolithographic processes. Between the parts of the photoresist 2 are channels 3.

5

10

15

20

25

As shown in Figure 2, the silicon is then etched to a desired depth by anisotropic etching in a downward direction so that there is as little etching of the side walls of the formation as possible. The etching may be carried out by any suitable process. In this respect reference is made to EP-A-0822582 and EP-A-0822584. The etching leads to formation of side walls 4 and bases 5. The series of side walls 4 and bases 5 together form trenches 6.

As shown in Figure 3, a layer of a protecting substance is deposited on the exposed surfaces to a thickness of about 100 nm. The deposited layer is shown at 7. This layer is deposited in the process chamber by generating a RF plasma with the source gas being  $C_4F_8$  and provides a layer of  $C_YF_X$  as mentioned above. One example is thought to be a layer of cross-linked PTFE.

Turning to Figure 4, an argon/oxygen mixture selectively removes the  $C_{x}F_{x}$  (for example the cross-linked PTFE) from the horizontal surfaces shown by upper surfaces 8 and bases 5. The requirement may be achieved by using, for example, low pressure reactive ion etching or inductively coupled plasma technique as mentioned above.

It is then possible to etch the unprotected silicon areas on the bases 5. Figure 5 shows the result of a partial etch which leads to etches 9. In the present

7

invention, XeF<sub>2</sub> is used to isotropically etch the unprotected silicon areas leading to the etches 9.

Figure 6 shows the result of etching to completion by XeF<sub>2</sub> followed by an oxygen plasma to remove both the photoresist 2 and remaining deposited layer 7. This etching provides the completed etch indicated by 10.

5

10

15

20

25

The method described above provides a simple approach to releasing silicon microstructures after they have been formed by anisotropic etching. Thus, Figure 5, as described above shows a structure in which the release process is happening and Figure 6 shows a structure when released. The method may be carried out sequentially in the same process chamber as the anisotropic etch. Thus, all the steps may be carried out in the same process chamber.

In the sequence shown by Figures 1 to 6, an example structure of inter-digitated silicon fingers is produced. The method may be applied to either bulk or polysilicon based structures.

Figures 7 and 8 show the final form of the resulting silicon microstructure in which inter-digitated silicon fingers 10 are shown.

Whilst the microstructures resulting from the present invention have a number of applications, typical applications for such structures may be accelerometers and gyroscopes which are based upon capacitance sensing between the silicon fingers by means of anchoring one set of silicon fingers 10 and allowing the other set to move when force is applied, this movement being sensed by the change in

8

capacitance.

5

10

15

Figure 9 illustrates a reactor chamber 11 suitable for use in the present invention. Typically, a vacuum chamber 12 incorporates a support electrode 13 for receiving a substrate 14 and a further spaced electrode 15. The substrate 14 may be pressed against the support 13 by a clamp 16. The chamber 12 is surrounded by a coil 17 and fed by a RF source which is used to induce a plasma in the chamber 12 between electrodes 13 and 15. Alternatively a microwave power supply may be used to create the plasma. In both cases there is a need to create a plasma bias, which can be either RF or DC and can be connected to the support electrode 13 so as to influence the passage of ions from the plasma onto substrate 14. An example of such an adjustable bias means is indicated at 19. The chamber is provided with a gas inlet port 20 and an exhaust port 21.

#### Claims

- 1. A method of manufacturing a micromechanical device comprising the steps of:
- (a) etching a substrate, having a mask thereon, through an opening in the mask to a desired depth to form a trench having a side wall and a base in the substrate;
  - (b) depositing a layer of a protecting substance on the exposed surfaces of the substrate and mask;
- (c) selectively removing the protecting substance from the 10 base; and
  - (d) etching the base using a fluorine-containing etchant.
  - 2. A method according to claim 1, wherein the fluorine-containing etchant is a gas or vapour used to etch the substrate in the absence of a plasma.
- 15 3. A method according to claim 1 or 2, wherein the fluorine-containing etchant comprises  $XeF_2$ ,  $F_2$ ,  $ClF_3$  or mixtures thereof.
  - 4. A method according to claim 1 or 2, wherein the fluorine-containing etchant is XeF<sub>2</sub>.
- 20 5. A method according to any preceding claim, further comprising the step of removing the mask and remaining protecting substance.

25

- 6. A method according to any preceding claim, wherein the protecting substance is a fluorocarbon polymer of the general formula  $C_vF_x$ .
- 7. A method according to claim 6, wherein the protecting substance is of the general formula  $n(CF_2)$ .
- 8. A method according to any one of claims 1 to 5, wherein

the protecting substance is of the form C<sub>x</sub>H<sub>x</sub>.

C 1/4 1 35

- 9. A method according to claim 8, wherein the protecting substance is of the general formula  $n(CH_2)$ .
- 10. A micromechanical device formed by the method of any5 preceding claim.
  - 11. A micromechanical device according to claim 10, comprising a series of adjacent fingers separated by trenches.
- 12. A micromechanical device according to claim 11, wherein10 the trenches are in communication.
  - 13. An apparatus for manufacturing a micromechanical device comprising:
  - (a) means for etching a substrate, having a mask thereon, to a desired depth;
- (b) means for depositing a layer of a protecting substance on the exposed surfaces of the substrate and mask;
  - (c) means for selectively removing the protecting substance; and
- (d) means for providing a fluorine-containing etchant for20 etching the unprotected areas.
  - 14. An apparatus according to claim 13, further comprising means for removing the mask and the remaining protecting substance.

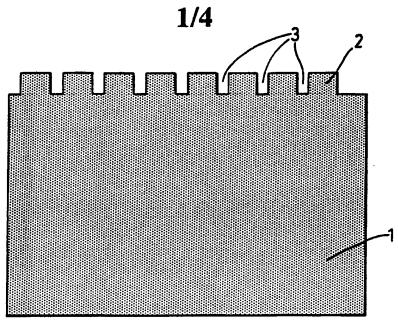


Fig. 1

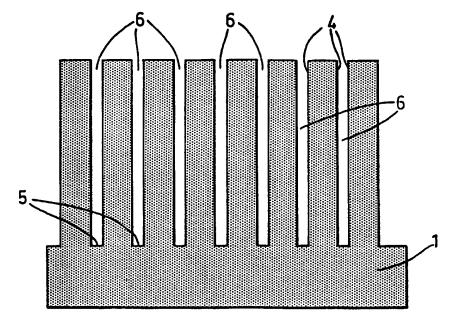


Fig. 2

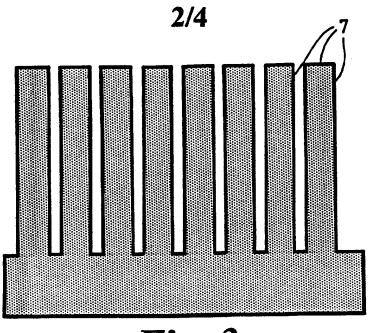


Fig. 3

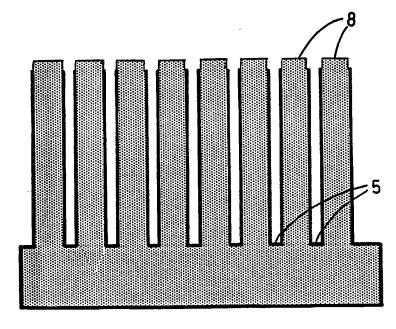
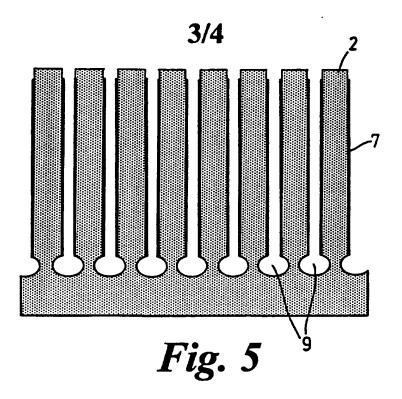
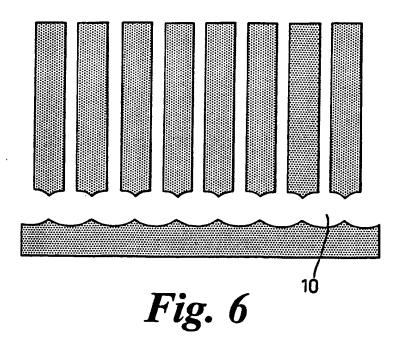


Fig. 4

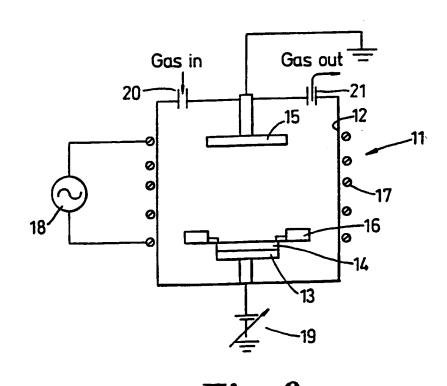




4/4

Fig. 7

Fig. 8



SUBSTITUTE SHEET (RULE 26)

### .. INTERNATIONAL SEARCH REPORT

Internati Application No PCT/GB 99/00774

	·			
A. CLASSII IPC 6	FICATION OF SUBJECT MATTER H01L21/3065			
According to	o International Patent Classification (IPC) or to both national classifica	tion and IPC		
	SEARCHED			
Minimum do IPC 6	cumentation searched (classification system followed by classification H01L B81C	on aymbola)		
	tion searched other than minimum documentation to the extent that st			
Electronic d	ata base consulted during the International search (name of data bas	se and, where practical, search te	rms used)	
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT			
Category °	Citation of document, with indication, where appropriate, of the rele	evant passages	Relevant to claim No.	
X	DE 42 41 045 C (BOSCH GMBH ROBERT 26 May 1994	")	1,6,7, 10,13,14 2-4	
Y	see the whole document FP 0 729 175 A (IRM) 28 August 19	96	1,5,10,	
X	EP 0 729 175 A (IBM) 28 August 19		1,5,10,	
	see column 1, line 1 - column 4, figures 3,4			
X	EP 0 822 584 A (SURFACE TECH SYS 4 February 1998 cited in the application see page 2, line 3 - page 4, line see page 8, line 21 - line 32; cl 1,5,8-10,18,19,21,22; figure 1	43	8,9,13, 14	
	-	-/		
X Furt	her documents are listed in the continuation of box C.	X Patent family members	are listed in annex.	
"A" docume	ategories of cited documents : ent defining the general state of the art which is not dered to be of particular relevance	cited to understand the princ	er the International filing date onflict with the application but ciple or theory underlying the	
"E" earlier o	document but published on or after the international date	invention  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to		
which citatio "O" docum	ent which may throw doubts on priority claim(s) or is cited to establish the publication date of another in or other special reason (as specified) lent referring to an oral disclosure, use, exhibition or	involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu-		
"P" docum	means ent published prior to the international filing date but han the priority date daimed	ments, such combination being obvious to a person skilled in the art.  "&" document member of the same patent family		
Date of the	actual completion of the international search	Date of mailing of the intern	ational search report	
7	/ June 1999	15/06/1999		
Name and	mailing address of the ISA	Authorized officer		
	European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Micke, K		

1

### INTERNATIONAL SEARCH REPORT

Internation Application No
PCT/GB 99/00774

		PCT/GB 99/00774			
C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT					
Category °	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.		
X	US 5 316 979 A (MACDONALD NOEL C ET AL) 31 May 1994 see the whole document		1,10-12		
Y	IBBOTSON D E ET AL: "Plasmaless dry etching of silicon with fluorine-containing compounds" JOURNAL OF APPLIED PHYSICS, NOV. 1984, USA, vol. 56, no. 10, pages 2939-2942, XP002910845 ISSN 0021-8979 see abstract see page 2939, column 1, paragraph 1 - column 2, paragraph 2; table 2		2-4		
	·				

1

#### INTERNATIONAL SEARCH REPORT

information on patent family members

Internati Application No
PCT/GB 99/00774

•				1 ,	101/45 33/40//1	
Patent document cited in search report		Publication date		Patent family member(s)	Publication date	
DE 4241045	С	26-05-1994	WO	9414187 A	23-06-1994	
			EP	0625285 A	23-11-1994	
			JP	7503815 T	20-04-1995	
			US	5501893 A	26-03-1996	
EP 0729175	Α	28-08-1996	JP	8250466 A	27-09-1996	
			US	5658472 A	19-08-1997	
EP 0822584	A	04-02-1998	JP	10144654 A	29-05-1998	
US 5316979	Α	31-05-1994	US	5198390 A	30-03-1993	